

TTB Report of Wine Premise Operations

Form 5120.17

November 10, 2020

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Topics of discussion

- Basic points to consider for this form
- Filing frequency
- Parts of the form and their purpose
- Things to remember and common mistakes
- Where to get help when you need it
- Questions



Basics of the form

1. The form contains data to help TTB track the amount of wine produced, packaged and removed, as well as what went into that wine and operations that increased or decreased volume. It also contains additional data for other agencies such as USDA.
2. In most sections, the top of the section will be amount on hand plus any amounts added during the period.
3. In most sections, the bottom of the section will be amount on hand end of period plus amounts withdrawn/lost, etc.
4. In most sections, your top total and bottom total must match.

Filing frequency

- Annual for most small wineries - bulk + bottled gallons don't exceed 20k gallons any month and less than \$1000 in annual excise taxes
- Quarterly - bulk + bottled gallons don't exceed 60k gallons any month and less than \$50000 in annual excise taxes
- Monthly (requires bi-monthly excise taxes)
 - What if I've been filing too frequently?
 - What if I haven't been filing often enough?



Parts of the form (cont.)

Part VI: Summary of Distilling Material and Vinegar Stock

PART VI - SUMMARY OF DISTILLING MATERIAL AND VINEGAR STOCK (Gallons) ^{6f}				
ITEM	DISTILLING MATERIAL ^{7f}		VINEGAR STOCK	
	(a)	(b)	(c)	(d)
1. ON HAND BEGINNING OF PERIOD (Storage Tanks)				
2. PRODUCED				
3. RECEIVED FROM OTHER BONDED WINE PREMISES				
4.				
5. TOTAL	0.00	0.00	0.00	0.00
6. REMOVED TO DISTILLED SPIRITS PLANTS				
7. REMOVED TO OTHER BONDED WINE PREMISES				
8. REMOVED TO VINEGAR PLANTS				
9.				
10. ON HAND END OF PERIOD (Storage Tanks)				
11. TOTAL	0.00	0.00	0.00	0.00



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Parts of the form (cont.)

Part VII: In Fermenters End of Period

PART VII - IN FERMENTERS END OF PERIOD (Gallons) [#]						
ITEM	(a)	(b)	(c)	(d)	(e)	TOTAL
1. IN FERMENTERS (ESTIMATED QUANTITY OF LIQUID)						0.00



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Parts of the form (cont.)

Part VIII: Summary of NonBeverage Wines

PART VIII - SUMMARY OF NONBEVERAGE WINES (Gallons)			
ITEM	NOT OVER 16 PERCENT ALCOHOL (a)	OVER 16 TO 21 PERCENT ALCOHOL (Inclusive) (b)	TOTAL (c)
1. PRODUCED			0.00
2. WITHDRAWN			0.00



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Parts of the form (cont.)

Part IX: Special Natural Wines and 27 CFR 24.218 Wines

PART IX - SPECIAL NATURAL WINES AND 27 CFR 24.218 WINES (Gallons)							
ITEMS	VERMOUTH (a)	OTHER SPECIAL NATURAL WINES				TOTAL (cols. a, b, c, d and e) (f)	27 CFR 24.218 WINES (g)
		NOT OVER 16 PERCENT ALCOHOL (b)	OVER 16 TO 21 PERCENT ALCOHOL (c)	ARTIFICIALLY CARBONATED (d)	SPARKLING (e)		
1. PRODUCED						0.00	
2. TAXABLE REMOVALS						0.00	
3. ON HAND END OF PERIOD						0.00	



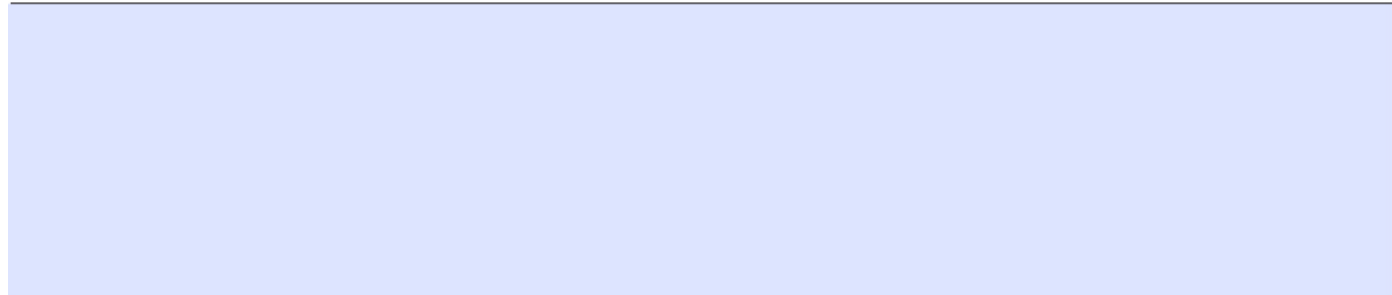
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Parts of the form (cont.)

Part X: Remarks

This is where you explain any entries that need additional information or explanation

PART X - REMARKS



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Reminders and points to keep in mind

- For inventory numbers, report wine that is in-bond
 - Don't include wine that has already been moved to tasting room
 - Make sure significant losses are reported to TTB on letterhead prior to submission of your report showing the loss/destruction
- Keep documentation to back up all figures you use in the report for at least three years
- Make sure someone with TTB Signing Authority or Power of Attorney signs your report
- Filing late is better than filing never
- If you haven't kept the data you need to file the report correctly for this year, make sure you list correct end of period inventories so that at least reports moving forward can be correct.

Where to get help

- TTB Website

There is a great resource on this topic located at:

<https://www.ttb.gov/images/pdfs/ttb-p-512017.pdf>

There are other resources online on this topic as well at ttb.gov

- TTB Help Line – 877-882-3277

Please don't be afraid to reach out to TTB

(best time to reach them without a wait is between 8:15 and 8:45 am)

- Email me (contact information on last slide)



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Questions?



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Williams Compliance & Consulting

Contact Information

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